File 401.5

Multi-Benefit Water Quality, Water Supply, Ecosystem and Watershed Protection and Restoration Grant Program

Proposal Solicitation Package
Released: September 2, 2015

FUNDED BY

2015 SAN JOAQUIN RIVER CONSERVANCY PROPOSAL SOLICITATION PACKAGE (PSP)

September 2, 2015

The San Joaquin River Conservancy (Conservancy) invites you to submit a proposal to receive funding for implementing and planning multi-benefit ecosystem and watershed protection and restoration projects.

PROPOSAL DUE DATE:

5:00 p.m., December 2, 2015

The Proposal must be received at the San Joaquin River Conservancy, not postmarked, by this time and date.

SUBMIT PROPOSAL TO:

Submit one original, four photocopies, and one electronic copy for each proposal, on CD-ROM (preferably in MS Word and/or Excel compatible format) to:

Melinda Marks, Executive Officer
San Joaquin River Conservancy
5469 East Olive Avenue
Fresno, CA 93727

CONTACT:

San Joaquin River Conservancy
(559) 253-7324 or info@sjrc.ca.gov

Please be advised that the Conservancy staff will only provide information that is part of the public record, such as the information that is in the grant Guidelines, resource management plans, and staff reports. Any supplemental information provided to any potential applicant shall also be made available at www.sjrc.ca.gov .

For an electronic copy of this Proposal Solicitation Package, proposal templates, and Grant Program Guidelines, please go to www.sjrc.ca.gov/.

September 15, 2015

Notice of Public Workshops
for the
2015 San Joaquin River Conservancy
Proposal Solicitation

A public workshop will be held to provide information about the grant program, the grant program guidelines, PSP, and the selection process.

Workshop Date and Location:

Fresno
September 16, 2015 1:30 pm – 3:00 pm
Fresno Metropolitan Flood Control District 5469 East Olive Avenue Fresno, California 93727

Agenda:

- Welcome and Introductions
- Grant Program Guidelines
- Proposal Solicitation Package:
  How to submit a proposal
- Questions
- Adjourn

2015 SAN JOAQUIN RIVER CONSERVANCY
PROPOSAL SOLICITATION PACKAGE

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ACRONYMS AND ABBREVIATIONS

CCC	California Conservation Corps
CDFW	California Department of Fish and Wildlife
CEDEN	California Environmental Data Exchange Network
CEQA	California Environmental Quality Act
CLC	California Labor Code
CSLC	California State Lands Commission
Conservancy	San Joaquin River Conservancy
CVPIA	Central Valley Project Improvement Act
FGC	Fish and Game Code
GHG	Greenhouse Gas
LCC	Fresno County Economic Opportunities Commission Local Conservation Corps
NEPA	National Environmental Policy Act
Parkway	San Joaquin River Parkway
Parkway Master Plan	San Joaquin River Parkway Master Plan
PRC	Public Resources Code
Proposition 1	Water Quality, Supply, and Infrastructure Improvement Act of 2014
PSP	Proposal Solicitation Package
SJRRP	San Joaquin River Restoration Program
SWRCB	State Water Resources Control Board
WAT	California Water Code
WCB	Wildlife Conservation Board

INTRODUCTION

The Water Quality, Supply, and Infrastructure Improvement Act of 2014 (Proposition 1) (California Water Code (WAT) Division 26.7) was approved by voters in November 2014. The total amount of funding authorized in Proposition 1 for San Joaquin River Conservancy (Conservancy) grants is $10 million, of which a maximum of ten percent ($1.0 million) may be expended on planning projects. Grants will be awarded on a competitive basis using specific evaluation criteria contained in the Proposal Solicitation Package (PSP).

This 2015 PSP provides information and requirements specific to this grant cycle, instructions, procedures, evaluation criteria, and application forms or templates that the Conservancy will use to implement the competitive grant program funded by Proposition 1. Any changes to the grant application process or supplemental information will be posted as soon as possible on the Conservancy's website.

I. CONSERVANCY PROPOSITION 1 GRANT GUIDELINES

The Conservancy's grant program will fund or contribute to funding selected multi-benefit water quality, water supply, ecosystem and watershed protection and restoration projects within its jurisdictional planning area, which is generally the river and floodplain within the 22-mile reach of the San Joaquin River in Fresno and Madera counties between Friant Dam and California State Route 99, and within the watershed immediately tributary to this reach.

The Conservancy's Proposition 1 Grant Project Solicitation and Evaluation Guidelines describe in greater detail the Conservancy's statutory mission, goals, objectives, and jurisdiction; the statutory purposes and intent of the grant program; and the relevant guiding plans, such as the San Joaquin River Parkway Master Plan (1997) and the California Water Action Plan. The Guidelines provide information on: program focus and benefits, anticipated types of projects, eligible grantees, eligible projects, ineligible projects, grant requirements, grant agreement provisions, and the evaluation and award process. The Guidelines also provide definitions and web links to useful references.

The PSP provides an overview of the Conservancy's program extracted from the Guidelines, as well as specific information and instructions for responding to the PSP. Applicants should carefully review the Guidelines for additional information and to ensure their proposal addresses all requirements.

Conservancy Background

The Conservancy is a regionally governed State agency established by the Legislature to develop and manage the San Joaquin River Parkway (Parkway), a planned 22-mile natural and recreational area generally within the river floodplain in Fresno and Madera counties extending from Friant Dam to State Route 99. In keeping with its mission and authorities in the San Joaquin River Conservancy Act, and in coordination with the Wildlife Conservation Board (WCB)[1], the Conservancy invests voter-authorized bond funds for projects within its jurisdictional planning area to: acquire lands for Parkway purposes; conserve, enhance, and restore aquatic, riparian, and floodplain habitat; and create improvements for public access,

[1] The Conservancy's bond funds are appropriated to the WCB, and are administered cooperatively by both agencies in compliance with the budget acts and a Memorandum of Understanding. All grant funding must be authorized by both the Conservancy Board and WCB, and will result in grant agreements executed by the WCB on behalf of the Conservancy. In order to minimize the complexity of the Guidelines, grant administration is generally attributed to the Conservancy herein.

low-impact recreation, and natural and cultural resources education, compatible with the conservation of the river's resources.

II. FUNDING SOURCE AND AVAILABILITY

Total funding available for the 2015-2016 grant cycle is $2.8 million. The Conservancy may also award funds from other sources in a manner consistent with its established practices and project evaluation processes in order to meet the needs of high-priority projects that cannot be fully funded through this grant program.

When a portion of a project is funded, for example for project planning, the grantee may later apply for a grant for future elements or phases; however, there is no guarantee that the remaining portions or future phases will be funded.

FUNDING LIMITS FOR THIS PSP:

The maximum available for allocation to successful grants for this cycle is $2.8 million. This grant cycle does not have a per-project funding limit.

REQUIREMENTS TO MATCH AND/OR LEVERAGE FUNDS FOR THIS PSP:

Matching funds are defined as the applicant's commitment to provide and clearly account for a non-state funding contribution to the project and/or in-kind services, using non-state personnel. This grant cycle does not require matching funds; however, they are considered positively in evaluation scores.

Leveraging funds are defined as the applicant's commitment to provide and clearly account for other state funding contributions to the project and/or in-kind services, using state personnel. This grant cycle does not require leveraging funds; however, they are considered positively in evaluation scores.

Only work performed after the effective date of the grant agreement will be eligible for reimbursement. Costs incurred prior to the effective date of the grant agreement are not eligible for reimbursement; however, advance investments, such as the documented cost of site acquisition and planning phases, may be valid matching or leveraging contributions. Similarly, post-construction monitoring, operations, and maintenance costs borne by the applicant may be valid matching or leveraging contributions.

III. DURATION OF PROJECTS

Projects may be multiyear efforts as necessary and appropriate. Generally, proposed timelines should not exceed four years. However, if delays that are not within the control of a grantee cause a grantee to need more time to complete the project tasks, the grantee may request an extension before the termination of the agreement. The extension will be subject to Conservancy and WCB approval.

IV. PROGRAM FOCUS AND BENEFITS (see Guidelines for a detailed description)

The Conservancy's grant program is designed to invest voter-authorized bond funds in projects within its jurisdictional planning area that will provide multiple benefits to protect and restore the San Joaquin River and watershed in accordance with the purposes and intent of Proposition 1.

Projects must be consistent with the San Joaquin River Conservancy Act (PRC §32500 et seq.), the San Joaquin River Parkway Master Plan, and Proposition 1, including but not

limited to meeting objectives of the California Water Action Plan: more reliable water supplies; the restoration of important species and habitat; and a more resilient, sustainably managed water resources system (water supply, water quality, flood protection, and environment) that can better withstand inevitable and unforeseen pressures in the coming decades.

Project eligibility sections provide additional information.

V. ANTICIPATED PROJECT TYPES

Possible Conservancy grant projects include but are not limited to:

- Land acquisition for Parkway riparian habitat and floodplain conservation and restoration;
- Ecosystem and watershed protection and restoration, including wetland, floodplain, and riparian habitat restoration, and re-establishing flood flows through restored floodplains;
- Improvements for surface and ground water management, including conjunctive use;
- Projects that are consistent with or enhance the San Joaquin River Restoration Program, including projects to isolate breeched gravel ponds from the river and projects to provide for off-stream recreational fishing;
- Projects that assist in the recovery of sensitive species, including but not limited to projects that address the priorities of the National Marine Fisheries recovery plan for Central Valley spring-run Chinook salmon;
- Water quality protection and improvement, including storm water quality management improvements; and
- Other projects that can demonstrate they meet the intent and purposes of Proposition 1 (see in particular WAT § 79732) as well as the mission, authorities, and plans of the Conservancy.

VI. ELIGIBLE GRANT APPLICANTS (see Guidelines for a detailed description)

Eligible applicants include public agencies, nonprofit organizations, public utilities, federally recognized Indian tribes, State Indian tribes listed on the Native American Heritage Commission's California Tribal Consultation List, and mutual water companies. Specific requirements apply to public utilities, mutual water companies, urban water suppliers, and agricultural water suppliers. Grant proposals from private individuals or for-profit enterprises will not be accepted.

Grant agreements shall be executed with one entity only. Applicants who wish to collaborate on a project and pursue a regional approach to a proposed project may elect to use a contractor-subcontractor relationship, a joint venture, a joint powers authority, or other appropriate mechanism. The proposal must clearly indicate the entity that will accept the grant and its authorized signatory. The grantee entity shall be responsible for payments, reporting, and accounting.

VII. ELIGIBLE PROJECTS
(see Guidelines for a detailed description)

Projects must meet all relevant eligibility criteria in order to be considered for funding.
Projects must be capital investments, such as real property acquisitions (including easements)[2] and improvements. Projects may involve the planning, environmental review, monitoring to inform designs and plans, and preliminary design of such improvements; however, only a limited amount of funding may be awarded from this grant program for planning activities.

Prospective applicants may consult with Conservancy staff in advance of submitting a proposal. Prospective applicants should contact the Conservancy with any questions pertaining to project eligibility.

Purposes

Projects must demonstrate multiple benefits to water quality, water supply, and/or ecosystem and watershed protection and restoration consistent with the requirements and purposes of Proposition 1. In summary, these purposes include, but are not limited to:

- Protecting healthy watersheds, fisheries, and stream flows;
- Implementing projects within watersheds that facilitate climate change adaptation;
- Restoring river parkways and urban river greenways;
- Conserving and restoring ecosystems;
- Fulfilling settlement obligations of the State of California related to water resources;
- Collaborating with federal agencies to protect fish and wetlands;
- Reducing wildfire risks;
- Improving watershed health;
- Reducing contamination of rivers, lakes and streams; and
- Assisting in the recovery of sensitive species by improving watersheds and associated habitat. (WAT § 79732)

Conservancy Programs, Authorities, and Jurisdiction

Projects must be consistent with the statutory mission and authorities of the San Joaquin River Conservancy, and the San Joaquin River Parkway Master Plan, and must contribute to the protection or restoration of the San Joaquin River watershed within the Conservancy's jurisdictional planning area, which is generally the river and floodplain within the 22-mile reach of the San Joaquin River in Fresno and Madera counties between Friant Dam and State Route 99. Since a watershed's protection and restoration is intrinsically interconnected with its tributaries, projects within the watershed immediately tributary to this reach that demonstrate a direct nexus and benefits to the watershed within the Conservancy's jurisdictional planning area may be considered for grant funding. .

Intent

Further, the overall grant program will meet the intent of Proposition 1 by: leveraging private, federal, or local funding; producing the greatest public benefit; applying best available science; employing new or innovative technology or practices; employing cost-efficient conservation strategies; addressing climate change; addressing the goals, objectives, and needs of the San Joaquin River Restoration Program; serving the needs of disadvantaged

[2] An appraisal of the value of the real property asset, conducted in compliance with the Department of General Services Real Property Services Section specifications must be completed, and the applicant may not purchase the property for greater than the State-approved fair market value. Any agency acquiring land with Proposition 1 funds may use the Natural Heritage Preservation Tax Credit Act of 2000 (Division 28 (commencing with §37000) of the Public Resources Code) (WAT § 79711(h)).

communities and economically distressed areas; partnering with or using the forces of the California Conservation Corps or certified community conservation corps; and addressing other water resource, ecosystem and watershed restoration goals, priorities, and objectives of the State of California as opportunities arise. These factors will be considered in evaluating proposed projects.

Conservation Corps Requirements
Division 26.7 of the Water Code, Chapter 6, Section 79734 requires that: "For restoration and ecosystem protection projects funded pursuant to this chapter, the services of the California Conservation Corps or a local conservation corps certified by the California Conservation Corps shall be used whenever feasible."

Grant applicants shall at a minimum consult with representatives of the California Conservation Corps (CCC) and Fresno County Economic Opportunities Commission Local Conservation Corps (LCC) (collectively, "the Corps") to determine the feasibility of the Corps' participation in providing grant-funded services for the project. Applicants seeking grants for projects that solely involve either planning or acquisition are exempt from the consultation requirement. Unless otherwise exempted, applicants that fail to engage in such consultation are not eligible to receive Proposition 1 grant funds. Specific instructions and required documentation for consulting with the Corps to secure services are described below in the Required Proposal Format and Content section.

Other Eligibility Requirements
See the Guidelines and Proposition 1 for additional statutory criteria.

VIII. INELIGIBLE PROJECTS (see Guidelines for a detailed description)

Ineligible projects or project elements include but are not necessarily limited to the examples provided in the Guidelines, such as: construction equipment purchased for a single project; projects mandated by law or regulation (other than projects that fulfill the obligations of the State in complying with the terms of multi-party settlement agreements related to water resources); education or outreach programs; operations and maintenance funding (with the exception of funds necessary to establish and sustain vegetation within habitat restoration projects until such new vegetation is established, generally not to exceed 4 years): projects to meet mitigation or compliance obligations; projects to design, construct, operate, mitigate, or maintain Delta conveyance facilities; and projects that employ eminent domain to acquire real property.

IX. Long-Term Commitment

For conservation real property acquisitions and restoration projects funded by a grant, the applicant must demonstrate that the project site will be conserved in perpetuity for the purposes of habitat protection. A restoration project should include adequate irrigation and stewardship to establish self-sustaining vegetation, normally considered to be three growing seasons after initial planting.

The applicant must demonstrate that any and all structural improvements funded by a grant shall be properly operated and maintained for a period not less than 25 years.

X. Land Ownership, State Sovereign Lands, and the Public Trust Easement

If the entire project site is not owned by an applicant, property owners must sign letters which demonstrate knowledge of the proposed project and allow the applicant (with reasonable

notice), to access, implement, and when applicable, operate, maintain, and monitor the project. A final landowner agreement will be required once the proposed project is awarded.

On navigable non-tidal waterways, such as the San Joaquin River, the State holds fee ownership of the bed of the waterway landward to the ordinary low water mark (i.e., state sovereign lands) and a Public Trust easement from the low water mark landward to the ordinary high water mark, except where the boundary has been fixed by agreement or court decision. Any proposed project that may occur within the portions of the historic channel of the river potentially involves sovereign lands under the jurisdiction of the California State Lands Commission (CSLC), and may require a lease from CLSC prior to construction or implementation. If state sovereign lands are involved the applicant should contact the CSLC to determine if a lease will be required.

XI. PROPOSAL REVIEW, EVALUATION, AND AWARD PROCESS (see Guidelines for a detailed description)

Grant proposals submitted by the deadline will be evaluated using the multi-step review process described in the Guidelines and summarized herein. The specific criteria upon which the evaluation will be based are defined in this PSP.

Each grant proposal will be reviewed by Conservancy staff to determine the eligibility and completeness of the application (pass/fail scoring). Applications that are determined to be ineligible or incomplete will not be considered for funding; staff will make note of the deficiencies so that the applicant may re-apply during the next cycle.

Eligible and complete proposals will then reviewed in detail by a five-member (minimum) Technical Review and Evaluation Panel made up of professionals in the field relevant to the proposed projects, and others as appropriate. The review panel members will individually score proposals in accordance with the following criteria:

	Criteria	**Description**	**Points Possible**
A	Project Readiness	Project readiness (e.g., CEQA compliance is complete; preliminary design is prepared; regulatory requirements are identified); project has an independent function, regardless of future actions.	5
B	Qualifications, capacity, and capability of applicants and collaborators	Applicants and any partners demonstrate capacity to carry out project; meet fiscal management and any long-term operations requirements; collaboration among entities; addresses inter-jurisdictional authorities and boundaries; assures proper long-term operation and maintenance.	10
C	Regional and State-wide relevance and importance	Project addresses regional needs, including the needs of disadvantaged communities and underserved populations; nexus to other conservation and restoration efforts and to other public Parkway lands, conservation lands, and the larger watershed; broad support/minimal substantive controversy.	10
D	Includes other funding sources, in-kind services	Applicant commits: 1st, matching funds or in-kind services (i.e., non-state funds and contributions); or 2nd, other State funds that leverage the Conservancy's Proposition 1 funds.	15

E	Generates multiple benefits	• Benefits water supply and reliability, and a more resilient, sustainably managed water resources system (water supply, water quality, flood protection, and environment), such as conjunctive and in-lieu use, water conservation measures, etc. • Benefits important species and wildlife habitat; habitat connectivity for wildlife movement; assists in the recovery of sensitive species. • Addresses greenhouse gas emissions (e.g., sequestration, potential reductions, and climate change adaptation); • Benefits ecosystem and watershed protection and conservation, water quality, pollution prevention; • Conserves habitat and open space that have the potential to be converted to other, more intensive land uses; assures long-term conservation of the project site; • Environmental impacts are avoided, minimized, and mitigated per CEQA review.	20
F	Consistent with and/or advances the goals of Conservation and Resource Management Plans	Contributes toward the goals, objectives, and needs of Statewide and regional conservation and resources management plans, including but not limited to the San Joaquin River Parkway Master Plan, California Water Action Plan, San Joaquin River Restoration Program, Integrated Regional Water Resource Management Plans, San Joaquin River Ecological Reserve management plan, species recovery plans (e.g. Central Valley spring-run Chinook salmon recovery plan), and other resource management plans.	10
G	Technical/Scientific Merit	Feasible; applies best available science and/or innovative technology or practices.	10
H	Measurable Outcomes	Includes measurable objectives, appropriate monitoring, and assessment; meets applicable data and information reporting requirements.	10
I	Cost-efficient conservation strategies	Costs are reasonable; costs are proportionate to benefits, in light of all competitive proposals; includes efficient strategies, such as using the California Conservation Corps (CCC) or community conservation corps to implement projects where feasible.	10
	TOTAL POINTS		100

Following completion of the review and scoring for all eligible and complete proposals, the Evaluation Panel will develop award/funding recommendations to the Conservancy board. When developing the ranking list, the panel will consider the following:

- The proposals, evaluation criteria, scores, and comments; input from the involved experts;
- Amount of funds available;
- Distribution of benefits and funds among focus areas, entities, and throughout the Conservancy's jurisdictional planning area and region;
- The overall accomplishment of the grant Program's focus and benefits; and

- Guiding principles and policies, such Proposition 1's intent and purposes, and the policies of the Conservancy expressed in the San Joaquin River Parkway Master Plan.

The Evaluation Panel may recommend modifications to any of the proposals, including reducing grant amounts from those requested, in order to meet current and any potential future program priorities and funding limitations.

The Executive Officer will prepare the final staff report and recommendation to the Conservancy Board. The staff report will include a the Evaluation Panel's grant and funding recommendations, a summary of the process, scores and ranks, and the final recommended proposals in their entirety. A minimum of ten days in advance of the scheduled Conservancy Board meeting, the staff report will be posted on the Conservancy website for public review.

The Conservancy Board shall make its grant award determinations by majority vote at a regularly scheduled board meeting. Applicants and members of the public will be provided the opportunity to comment prior to Board action. The Board, in its sole discretion, may require modifications to a proposal, including reducing the grant amount, may deny a grant recommended by staff, and may provide direction for further Board consideration of grant proposals at a later meeting. The Conservancy Board's action will include a request to the WCB to also authorize the approved grants at their next quarterly meeting.

Projects selected and approved for funding will be posted on the Conservancy website at www.sjrc.ca.gov.

Grant agreements are not executed until signed by both the authorized representatives of the grant recipient and the Conservancy. Work performed prior to receiving a notice to proceed for an executed agreement is done at the risk of the applicant and cannot be reimbursed.

XII. ANTICIPATED GRANT SCHEDULE

The anticipated schedule for the 2015 competitive grant process is as follows:

By 09/02/15	2015 Proposal Solicitation Package released.
By 12/02/15	Proposals due.
By January /February, 2016	Review process completed, recommendations provided to public.
By February/March, 2016	Conservancy Board considers recommendations, approves grants.
By April, 2016	Draft grant agreements developed.
By May, 2016	Wildlife Conservation Board considers Conservancy request for authorization.
By June, 2016	Grant agreements are executed, projects receive Notice to Proceed.

XIII. REQUIRED PROPOSAL FORMAT AND CONTENT

Application templates and instructions for completing Proposals are included below. Applicants are required to complete the templates provided through the Conservancy's website (or submit all required information in the same format), along with required exhibits. All materials, including the application, attachments, and supporting documentation, must be successfully submitted by the deadlines stipulated within the PSP. Late submittals will not be accepted.

The Proposal, including one original, five photocopies, and one electronic copy on CD-ROM (preferably in MS Word and Excel compatible formats), must be received by 5:00 p.m., December 2, 2015, at:

San Joaquin River Conservancy
5469 East Olive Avenue
Fresno, CA 93727

The proposal narrative shall be in 11-point font on 8 ½ X 11 inch paper, with single-spaced, consecutively numbered pages. Exhibits must be numbered and cross-referenced to the narrative.

REQUIRED PROPOSAL FORMAT AND CONTENT

Please complete all of the following, and submit them in the following order:

A. Project Information Form
B. Signature Page
C. Statement of Work
   Section 1: Project Description
   Section 2: Location Maps
   Section 3: Benefits, Relevance, and Importance
   Section 4: Technical/Scientific Merit and Feasibility; Innovation
   Section 5: Monitoring, Assessment, and Information Requirements
D. Detailed Budget, Funding and In-Kind Contributions
E. Qualifications of the Applicants and Cooperators
F. Preparation, CEQA compliance and required permits; Water Law; Landowner Agreements
G. Grantee commitments
H. Outreach, Community Involvement, and Acceptance
I. Required Exhibits and Supplemental Appendices

If any requested information is not applicable to the project, write "NA" or "not applicable," so that it is clear your application is responsive.

If requested information is in an exhibit, you may cross-reference the narrative to the exhibit, rather than repeat the information.

A. PROJECT INFORMATION FORM

Complete the following form in the Proposal. Include exhibits in Section I, and label them A-1, A-2, etc.

1. Applying for:

❑ Improvement Project
❑ Acquisition[3]
❑ Planning and/or Preliminary Design[3]
❑ Monitoring Project to inform project plans and designs[3]

2. Principal applicant (eligible agency or organization):

3. Project title, project site:

4. Person authorized to sign and submit proposal and grant agreement:
Name:
Title:
Mailing Address:
Telephone:
E-Mail:

5. Contact person
Name:
Title:
Mailing Address:
Telephone:
E-Mail:

6. Summary Budget:

Source	Dollar Amount	Percentage of total
Grant funds requested:		
Matching applicant contribution (non-state source)		
Funding:		
In-kind services:		
Leveraging applicant contribution (other state source)		
Funding:		
In-kind services:		
TOTAL PROJECT COSTS		

[3] Corps participation not feasible or required.

7. Funding shall only be used for projects that will provide environmental benefits or improvements greater than required, applicable environmental mitigation measures or compliance obligations (WAT §79732(b)). Is your project required by regulation or law?

Yes/No
If yes, describe how the project may be eligible nonetheless (see Guidelines and Proposition 1 for eligibility requirements):

8. Duration of project (month/year to month/year):

9. State Assembly District where the project will be conducted:
State Senate District where the project will be conducted:
Congressional district(s) where the project will be conducted:

10. County where the project will be conducted:
❑ Madera
❑ Fresno

11. Type of applicant (select one):
❑ Public agency
❑ Public utility
❑ Mutual water company
❑ Federally recognized Indian tribe
❑ State Indian Tribe
❑ Nonprofit organization
❑ Specify Other:

12. Does applicant's jurisdiction include a disadvantaged community or economically distressed area? Will the project be located in or serve a disadvantaged community or economically distressed area?
❑ Yes
❑ No.
If yes, provide information and supporting documentation as requested in Section 3.c) below.

B. SIGNATURE PAGE

Include the following signed certification with the Proposal.

By signing below, the official declares the following:

The truthfulness of all representations in the proposal;

The individual signing the form has the legal authority to submit the proposal on behalf of the applicant;

There is no pending litigation that may impact the financial condition of the applicant or its ability to complete the proposed project;

The individual signing the form read and understood the conflict of interest and confidentiality sections of the Conservancy's grant Guidelines and this PSP and waives any and all rights to privacy and confidentiality of the proposal on behalf of the applicant;

The applicant will comply with all terms and conditions identified in the Conservancy's grant Guidelines and this PSP if selected for funding; and

The applicant has legal authority to enter into a grant agreement with the State.

_____ _____

Signature Date

Name:

Title:

Agency/Organization:

C. STATEMENT OF WORK

Include the following in the Proposal. Include exhibits in Section I, and mark them C-1, C-2, etc.

1. Project Description

a) Provide a narrative description of the project, the partners and collaborators, the goals and objectives.

b) Provide a detailed Scope of Work and schedule.

c) Describe the nature of any agreements with the other collaborators, including the tasks to be performed by the different entities, allocation of decision-making authority, and liability. (Costs associated with partners' and contractors' tasks are detailed in the Budget, Section D.)

2. Location Maps

Provide maps as exhibits showing the regional vicinity, location within the local watershed, project boundaries, and project site ownership.

3. Benefits, Relevance, and Importance

a) Describe how the project meets the goals and objectives and is consistent with statewide and/or regional conservation plans, such as the California Water Action Plan, the San Joaquin River Parkway Master Plan, the San Joaquin River Restoration Program, Regional Integrated Water Management Plan, and/or sensitive species recovery plan.

b) Describe how the project will meet the purposes and intent of Proposition 1 as described in the Act, the grant Guidelines, and the evaluation criteria. Identify and describe the overall benefits that would occur as a result of the proposed project. Describe in particular benefits to the conservation, restoration, and recovery of important species and habitat; climate change adaptation and greenhouse gas reduction; reliable water supplies; and a more resilient, sustainably managed water resources system (water supply, water quality, flood protection, and environment). Describe when the benefits would occur, the life of the project, and duration of benefits.

c) Describe any benefits to disadvantaged communities as defined in WAT §79505.5(a) and/or economically distressed areas as defined in WAT §79702(k).

4. Technical/Scientific Merit and Feasibility; Innovation

a) Describe the technical and/or scientific basis for the project approach and design. Described how the approach and design ensures desired outcomes.

b) Describe any new or innovative technology or practices that will be employed.

5. Monitoring, Assessment, and Information Requirements

a) Identify any quantifiable benefits described in Section 3. If known, provide pre-project environmental indicators, and projected post-project outcomes.

b) Define and describe measurable outcomes through which project performance will be monitored and evaluated. Include a list of project-specific performance measures that will be used to assess project outcomes/trajectories. Provide sufficient detail of how these performance measures will be qualitatively and/or quantitatively assessed to evaluate the effectiveness of the project in achieving the stated objectives.

c) Describe the processes through which information will be collected, stored, and disseminated to participants, stakeholders, public, and the State. Public information may include, but is not limited to technical designs, feasibility studies, reports, and data gathered during any phase of development, including planning, design, construction, operation, and monitoring.

d) For projects involving water quality monitoring, describe how data will be collected and reported to the State Water Resources Control Board in a manner that is compatible and consistent with surface water monitoring or groundwater data systems administered by the SWRCB (e.g., California Environmental Data Exchange Network (CEDEN) for surface water data) (WAT §79704).

e) If applicable, describe how watershed monitoring data will be collected and reported to the Department of Conservation in a manner that is compatible and consistent with the statewide watershed program administered by the Department of Conservation (WAT §79704).

D. DETAILED BUDGET, FUNDING AND IN-KIND CONTRIBUTIONS

1. Complete and submit a detailed budget reflecting the tasks in the Scope of Work, in a format equivalent to the following table. The tasks listed on the table are examples of typical tasks for a range of projects, although only some of the tasks will apply to any one project. An MS Excel template is available at www.sjrc.ca.gov. (See the grant Guidelines for cost documentation requirements, administrative cost accounting, and audits.)

2. Describe the method or basis used to develop reasonable cost estimates.

3. Project Cost Sharing—Leveraging and Matching Funds

Matching contributions are funds and in-kind services contributed from sources other than State funds. Leveraging contributions are funds and in-kind services contributed from State sources other than Conservancy funds. Actual costs for in-kind services claimed in order to meet any matching funds requirements must be accounted for in project records. Narratively describe the agency, funding partners, sources, amounts, and percentages of contributed funds and in-kind services. Describe any public benefits specifically derived or enhanced by these contributions. These contributions should also be itemized on the Project Budget, below.

TABLE D
Detailed Budget

Complete applicable sections:

Planning and Design	**Grant Funded**	**Applicant/Other Funding**	**Applicant/Other In-Kind Services**	**Total per Task**
Direct Costs				
Monitoring				
Opportunities and Constraints Analysis, Feasibility				
Phase 1 site assessment				
Preliminary Design (up to 30% design drawings)				
Surveys, topo mapping, title research				
Mapping, graphics				
CEQA Initial Study Mitigated Negative Dec.				
CEQA Draft and Final EIR, Mitigation MRP				
Project Management (indirect costs are not eligible)				
Legal noticing, public mailings				
Public participation, workshops, meetings				
Permit and Regulatory review fees				
Other:				
Contingency not to exceed 10% of direct costs				
Communications, office equip. charges, supplies, copies (printing and CDs)				
Total				
Percent of Total				
Real Property Acquisition				
Land Cost not to exceed FMV				
Easement Costs not to exceed FMV				
Surveys and appraisals, phase 1 site assessment				
Project Management, land agent (indirect costs are not eligible)				
Total				
Percent of Total				

Implementation/Restoration/Construction				
Direct Costs				
Engineering, working plans and specs.				
Monitoring, hydrology, soils studies, etc.				
Habitat restoration plans and specs.				
Permit preparation				
Permit and Regulatory Review Fees and costs				
Site preparation, grading, demolition				
Nursery & plant stock, collection and propagation				
Equipment purchase and rental (see Guidelines), irrigation eq.				
Materials, Pre-Fab Structures, Fixtures				
Construction, installation, contracts				
CA Conservation Corps/Local CC services				
Planting				
Project Management (indirect costs are not eligible)				
Env. Compliance Monitoring, Cultural Resources Monitor				
Construction Inspection				
Post-construction site stability and erosion control (one season)				
Performance monitoring (max. funding for three years)				
Restoration irrig. & maint. (max. three years)				
Contingency not to exceed 10% of direct costs				
Communications, office equip. charges, supplies				
Total				
Percent of Total				

E. QUALIFICATIONS OF THE APPLICANT AND COLLABORATORS

Include the following in the Proposal. Include exhibits in Section I, and mark them E-1, E-2, etc.

1. Describe the applicant agency or organization and the primary project collaborators, qualifications, experience and capacity.

2. Describe how contractors will be selected.

3. Describe any services that are planned to be performed by the California Conservation Corps (CCC) and/or Local Conservation Corps (LCC). Consultation with the Corps to determine if Corps' services are feasible is required. Complete the following consultation form and submit it via email concurrently to:

California Conservation Corps representative:
Name: CCC Prop 1 Coordinator Email: Prop1@ccc.ca.gov
Phone: (916) 341-3100

California Association of Local Conservation Corps representative:
Name: Crystal Muhlenkamp Email: inquiry@prop1communitycorps.org
Phone: 916-426-9170 ext. 0

Fresno County Economic Opportunities Commission Local Conservation Corps
Name: Shawn Riggins Email: Shawn.Riggins@fresnoeoc.org
Phone: 264-1048

California Conservation Corps and Certified Community Conservation Corps
Proposition 1 - Water Bond
Corps Consultation Review Document
June 2015

Unless an exempted project, this Corps Consultation Review Document must be completed by California Conservation Corps and Community Conservation Corps staff and accompany applications for projects or grants seeking funds through Proposition 1, Chapter 6, Protecting Rivers, Lakes, Streams, Coastal Waters and Watersheds. Non-exempt applications that do not include this document demonstrating that the Corps have been consulted will be deemed "noncompliant" and will not be considered for funding.

1. Name of Applicant:

Project Title:

Department/Conservancy to which you are applying for funding**:**

To be completed by Applicant:
Is this application solely for planning or acquisition?

- ☐ Yes (application is exempt from the requirement to consult with the Corps)
- ☐ No (proceed to #2)

To be completed by Corps:
This Consultation Review Document is being prepared by:

- ☐ The California Conservation Corps (CCC)
- ☐ California Association of Local Conservation Corps (CALCC)

2. Applicant has submitted the required information by email to the California Conservation Corps (CCC) and California Association of Local Conservation Corps (CALCC):

- ☐ Yes (applicant has submitted all necessary information to CCC and CALCC)
- ☐ No (applicant has not submitted all information or did not submit information to both Corps – application is deemed non-compliant)

3. After consulting with the project applicant, the CCC and CALCC has determined the following:

- ☐ It is NOT feasible for CCC and/or certified community conservation corps services to be used on the project (deemed compliant)
- ☐ It is feasible for the CCC and/or certified community conservation corps services to be used on the project and the following aspects of the project can be accomplished with Corps services (deemed compliant).

  _____
  _____
  _____

CCC AND CALCC REPRESENTATIVES WILL RETURN THIS FORM AS DOCUMENTION OF CONSULTATION BY EMAIL TO APPLICANT WITHIN FIVE (5) BUSINESS OF RECEIPT AS VERIFICATION OF CONSULTATION. APPLICANT MUST INCLUDE COPY OF THIS DOCUMENT AS PART OF THE PROJECT APPLICATION.

F. PREPARATION, ENVIRONMENTAL COMPLIANCE, REQUIRED PERMITS, AND WATER LAW

Include the following in the Proposal. Include exhibits in Section I, and mark them F-1, F-2, etc.

1. CEQA Compliance

a) Planning and design projects are usually exempt from CEQA (CEQA Guidelines §15262); therefore grants may be awarded for such projects subject to filing a Notice of Exemption (NOE). Provide the published NOE filed by the Lead Agency or proposed NOE to be approved by the Conservancy with the Proposal.

b) For projects other than exempt planning, feasibility, and design projects, provide all necessary CEQA documentation. Prior to awarding a grant the Conservancy, as a Lead or Responsible Agency, shall consider the environmental documentation prepared for the project and to reach its own conclusion as to project review, mitigation, and compliance with CEQA for its jurisdictional authority related to the project.

2. NEPA Compliance

Describe the proposed Project's compliance with the National Environmental Policy Act (NEPA) if applicable.

3. Required Permits

Identify expected required permits and approvals, and whether they have been applied for or received, or describe the process through which the permits will be obtained. Provide a schedule or work plan, and indicate any permit processes that could significantly delay project implementation. Grant proposals may include in their budgets the funding necessary for permit-related tasks.

4. Water Law

a) If the proposed project would require a change to water rights, including, but not limited to, bypass flows, point of diversion, location of use, purpose of use, and/or off-stream storage, describe the processes, timelines, and costs necessary for approvals by the State Water Resources Control Board (SWRCB) and the ability to meet those timelines within the term of a grant.

b) If applicable, demonstrate the legal right to divert water by submitting a copy of a water right permit or license on file with the SWRCB, or some other document that evidences the right. Applicants who divert water based on a riparian or pre-1914 water right must also document their right to divert water.

5. State Sovereign Lands and Public Trust Lands

On navigable non-tidal waterways, such as the San Joaquin River, the State holds fee ownership of the bed of the waterway landward to the ordinary low water mark (i.e., state sovereign lands) and a Public Trust easement from the low water mark landward to the ordinary high water mark, except where the boundary has been fixed by agreement or court decision. Any proposed project that may occur within the portions of the historic channel of the river potentially involves sovereign lands under the jurisdiction of the California State Lands Commission (CSLC), and may require a lease from CLSC prior to construction or implementation.

Provide a map showing the proposed project site plan and any associated state sovereign lands and the Public Trust Easement as mapped by the CSLC's administrative maps of the San Joaquin River. If state sovereign lands are involved, the applicant should contact CSLC staff in advance of submitting a proposal to determine whether a lease will be required.

G. GRANTEE COMMITMENTS

Include the following in the Proposal. Include exhibits in Section I, and mark them G-1, G-2, etc.

1. Long-Term Commitment

a) For conservation real property acquisitions and restoration elements funded by the grant, describe how the project site will be conserved in perpetuity for the purposes of habitat protection.

b) For restoration elements funded by the grant, describe how adequate irrigation and stewardship will be provided to establish self-sustaining vegetation (normally considered to be three growing seasons after initial planting).

c) Describe the entity and source of funds to operate and maintain all structural improvements funded by a grant for a period not less than 25 years.

2. Applicant Authorization

a) If cost sharing is proposed, attach a letter or resolution of the governing board that commits the applicant to all or part of the matching/leveraged share and/or in-kind services. The letter or resolution should acknowledge that the applicant is responsible for ascertaining and complying with all applicable legal requirements concerning leveraged funds or donated services.

b) If funds will be received from a third party, attach a letter or resolution authorizing third party funds and/or in-kind contribution signed by an official authorized to commit the third party.

c) California Conservation Corps' or Local Conservation Corps' commitments to provide services are documented in section E.

d) In submitting a Proposal, the applicant agrees to provide, prior to the execution of the grant agreement, a resolution from its governing board accepting the funds, designating a representative authorized to execute the grant and sign requests for disbursement, and committing to the long term operation and maintenance obligations.

3. Landowner Agreement

If the entire project site is not owned by the applicant, attach letters signed by the property owners, which demonstrate knowledge of the proposed project, and allows the applicant (with reasonable notice), to access, implement, and when applicable, operate, maintain, and monitor the project. Final landowner agreements will be required once the proposed project is awarded, which will, among other provisions, allow reasonable access by the Conservancy or its agents over the life of the project, and may require conservation easements or other protections against encroachments.

4. State Lands Commission Lease

In submitting a Proposal for a project entirely or partially on State Sovereign Lands, the applicant agrees to secure a lease from the State Lands Commission prior to construction or implementation if required.

5. Conflict of Interest

In submitting a Proposal, the applicant and its partners acknowledge all requirements to comply with Conflict of Interest laws and regulations.

6. Confidentiality

In submitting a Proposal, the Proposal becomes a public record and as such, the applicant waives any privacy rights, as well as other confidentiality protections afforded by law with respect to the application package. (Any sensitive or confidential personal data contained the Proposal will be redacted by the Conservancy prior to making documents available to the public.)

7. Standard Terms and Conditions

In submitting a Proposal, the applicant accepts the standard terms and conditions of the grant agreement.

8. Reports

In submitting a Proposal, the applicant agrees to submit progress reports throughout the project and a comprehensive final report at the end of the project. Project-specific requirements for performance measures, data management, public information, and reporting requirements shall be defined in the grant agreement. Data and information obtained and reported under the grant agreement shall be made available in the public domain.

9. Labor and Public Contract Code Compliance

In submitting a Proposal, the applicant acknowledges that projects funded through this program may be subject to prevailing wage provisions of the California Labor Code. Further, the applicant acknowledges that it must comply with applicable provisions of the Public Contract Code.

10. Signage

In submitting a Proposal, the applicant agrees that to the extent practicable, it shall post a sign at the project site informing the public that the project received funds from the Water Quality, Supply, and Infrastructure Improvement Act of 2014.

11. Appraisals

In submitting a Proposal, the applicant acknowledges that, prior to a real property purchase or water right purchase or lease, an appraisal of the value, conducted in compliance with the Department of General Services Real Property Services Section specifications, must be completed and approved by the department, and that no more than fair market value may be paid to the seller.

H. OUTREACH, COMMUNITY INVOLVEMENT, AND ACCEPTANCE

Include the following in the Proposal. Include exhibits in Section I, and mark them H-1, H-2, etc.

1. Describe or include as exhibits any available information about public involvement and vetting relating to project planning, applicant priorities, regional need for the project, etc.

2. Provide any letters of support for the grant Proposal.

I. REQUIRED EXHIBITS AND SUPPLEMENTAL APPENDICES

All exhibits and supplemental documentation requested in the PSP must be provided in this section, labeled to reference the relevant section, and consecutively numbered.

The applicant may include additional information if it directly and significantly contributes to understanding the benefits and merits of the Proposal.

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